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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL FORM

Applicant: Rudy KRAUS  
Serial No.: 10/700,076  
Filed: November 3, 2003  
For: APPARATUS FOR PROVIDING HIGH QUALITY  
POWER  
Art Unit: 2834  
Examiner: Nicholas Ponomarenko  
Confirmation No.: 3730  
Customer No.: 27,623  
Attorney Docket No.: 0002202USU/2435

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith is:

1. Supplemental Information Disclosure Statement with Certificate Under 37 C.F.R. §1.97(e);
2. PTO Form 1449 with copies of patents;
3. Transmittal letter in duplicate; and
4. Postcard.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,

Charles N.J. Ruggiero  
Reg. No. 28,468  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10th Floor  
Stamford, Connecticut 06901-2682  
(203) 327-4500

Date: December 19, 2005

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON DECEMBER 19, 2005.

Suzanne Lombardo  
NAME

  
SIGNATURE

12/19/05  
DATE



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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed November 3, 2003.

We are enclosing copies of the non-U.S. references listed in the attached Form PTO-1449.

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate.

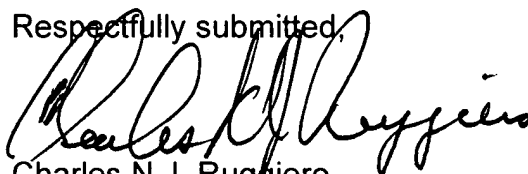


Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

**CERTIFICATE UNDER 37 C.F.R. §1.97(e) FOR FILING I.D.S.**

This paper contains only an IDS in compliance with 37 C.F.R. §§ 1.97 and 1.98. Each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application, and this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this IDS. Therefore, pursuant to 37 CFR 1.704(d), this paper should not be considered a failure to engage in reasonable efforts to conclude prosecution (processing or examination) of the application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Charles N.J. Ruggiero", written over the typed name.

Charles N.J. Ruggiero

Reg. No. 28,468

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

One Landmark Square, 10th Floor

Stamford, CT 06901-2682

(203) 327-4500

December 19, 2005



|  |   |                                  |
|--|---|----------------------------------|
| FORM PTO-1449  | Docket Number (Optional)<br>0002202USU/2435 | Application Number<br>10/700,076 |
| INFORMATION DISCLOSURE CITATION<br>IN AN APPLICATION | Applicant<br>Rudy KRAUS                     |                                  |
| (Use several sheets if necessary)                    | Filing Date<br>November 3, 2003             | Group Art Unit<br>2834           |

### U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE             | NAME               | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|---------------------|--------------------|------------------|--------------------|-------|----------|-------------------------------|
|                     | 5,612,581 A        | March 18, 1997   | KAGEYAMA ET AL.    | 307   | 064      |                               |
|                     | 5,942,811 A        | August 24, 1999  | STUMFALL ET AL.    | 307   | 064      |                               |
|                     | 6,184,593 B1       | February 6, 2001 | JUNGREIS, AARON M. | 307   | 064      |                               |
|                     |                    |                  |                    |       |          |                               |
|                     |                    |                  |                    |       |          |                               |
|                     |                    |                  |                    |       |          |                               |
|                     |                    |                  |                    |       |          |                               |
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|                     |                    |                  |                    |       |          |                               |
|                     |                    |                  |                    |       |          |                               |
|                     |                    |                  |                    |       |          |                               |

### FOREIGN PATENT DOCUMENTS

|  | DOCUMENT<br>NUMBER | DATE             | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|--------------------|------------------|---------|-------|----------|-------------|----|
|  |                    |                  |         |       |          | YES         | NO |
|  | WO 01/93410 A      | December 6, 2001 | WIPO    |       |          | X           |    |
|  |                    |                  |         |       |          |             |    |
|  |                    |                  |         |       |          |             |    |
|  |                    |                  |         |       |          |             |    |
|  |                    |                  |         |       |          |             |    |

### OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

|  |   |
|--|---|
|  | ELBULUK, Malik E. and KANKAM, David M., "A Survey of Power Electronics Applications in Aerospace Technologies" 36 <sup>th</sup> Intersociety Energy Conversion Engineering Conference, Savannah, Georgia, November 30, 2001 |
|  | Supplemental European Search Report dated November 17, 2005   |
|  |   |
|  |   |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.